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DKT. 62166/JPW/AN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Thomas Jessell et al.

U.S. Serial No.: 09/654,462

Filed : September 1, 2000

For : GENETIC DEMONSTRATION OF REQUIREMENT FOR  
NKX6.1 AND NKX2.2 IN VENTRAL NEURON  
GENERATION

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1185 Avenue of the Americas  
New York, New York 10036  
June 1, 2001

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

SECOND COMMUNICATION TO CORRECT ERROR IN FILING RECEIPT

This Communication is filed to request the issuance of a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the updated Filing Receipts for the subject application, a copy of which is attached hereto as **Exhibit A**, applicants' undersigned attorney noticed several errors.

Specifically, the title and James Briscoe's address for the subject application are incorrect.

A corrected Filing Receipt should read as follows:

--GENETIC DEMONSTRATION OF REQUIREMENT FOR NKX6.1 AND

Applicants : Thomas Jessell et al.  
U.S. Serial No.: 09/654,462  
Filed : September 1, 2000  
Page 2

**NKX2.2 IN VENTRAL NEURON GENERATION**

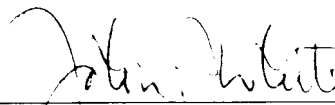
Also, a corrected Filing Receipt should read as follows:

--Applicants THOMAS M. JESSELL, BRONX, NY; JAMES BRISCOE, LONDON, UNITED KINGDOM, JOHAN ERICSON, HASSELBY, SWEDEN; JOHN L.R. RUBENSTEIN, SAN FRANCISCO, CA; MAIKE SANDER, HAMBURG, GERMANY--

Applicants contend that the correct title and applicants' information may be found in the Declaration and Power of Attorney filed March 23, 2001 in Response to October 23, 2000 Notice to File Missing Parts of Application. A copy of the Declaration and Power of Attorney is attached hereto as **Exhibit B**. Accordingly, applicants request that a corrected Filing Receipt be issued.

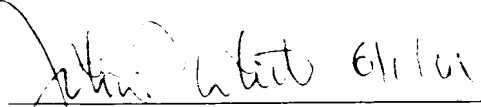
No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account 03-3125.

Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231.



John P. White  
Reg. No. 28,678

Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 2023  
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Bib Data Sheet

CONFIRMATION NO. 6093

<b>SERIAL NUMBER</b> 09/654,462	<b>FILING DATE</b> 09/01/2000 <b>RULE</b>	<b>CLASS</b> 424	<b>GROUP ART UNIT</b> 1632	<b>ATTORNEY DOCKET NO.</b> 62166/JPW/EMW
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## APPLICANTS

Thomas M. Jessell, Bronx, NY;  
 James Briscoe, London, NY, UNITED KINGDOM;  
 Johan Ericson, Hasselby, SWEDEN;  
 John L. R. Rubenstein, San Francisco, CA.  
 Maik Sander, Hamburg, GERMANY.

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## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CIP OF 09/569,259 05/11/2000

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*

\*\* 10/20/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 9	<b>TOTAL CLAIMS</b> 12	<b>INDEPENDENT CLAIMS</b> 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

## ADDRESS

John P White  
 Cooper & Dunham LLP  
 1185 Avenue of the Americas  
 New York, NY 10036

## TITLE

Genetic demonstration of requirement for NKX6.1 and NKX2.2 in ventral neuron generation

<b>FILING FEE RECEIVED</b> 410	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



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\*BIBDATASHEET\*

CONFIRMATION NO. 6093

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<b>SERIAL NUMBER</b> 09/654,462	<b>FILING DATE</b> 09/01/2000 <b>RULE</b>	<b>CLASS</b> 424	<b>GROUP ART UNIT</b> 1632	<b>ATTORNEY DOCKET NO.</b> 62166/JPW/EMW
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## APPLICANTS

Thomas M Jessell, Bronx, NY;  
James Briscoe, London, NY, UNITED KINGDOM;  
Johan Ericson, Hasselby, SWEDEN;  
John L. R. Rubenstein, San Francisco, CA;  
Maike Sander, Hamburg, GERMANY;

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## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CIP OF 09/569,259 05/11/2000

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*

\*\* 10/20/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 9	<b>TOTAL CLAIMS</b> 12	<b>INDEPENDENT CLAIMS</b> 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

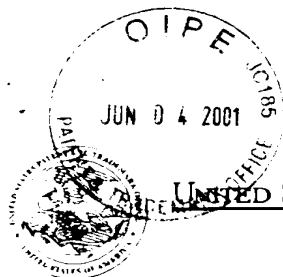
## ADDRESS

John P Wite  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036

## TITLE

Genetic demonstration of requirement for NKX6.1 and NKX2.2 in ventral neuron generation

<b>FILING FEE RECEIVED</b> 410	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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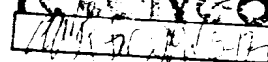
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/654,462	09/01/2000	1633	410	62166/JPW/EMW	9	12	3

CONFIRMATION NO. 6093

UPDATED FILING RECEIPT



\*OC0007000037426\*



Date Mailed: 05/14/2001

John P Wite  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036

MAY 16 2001

DOCKET CLERK

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Thomas M Jessell, Bronx, NY; ✓  
James Briscoe, London, NY, UNITED KINGDOM;  
Johan Ericson, Hasselby, SWEDEN;  
John L. R. Rubenstein, San Francisco, CA;  
Maike Sander, Hamburg, GERMANY;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/569,259 05/11/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 10/20/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Genetic demonstration of requirement for NXX2.2 in ventral neuron generation ✓

**Preliminary Class**

424

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**Data entry by :** GUNTER RILEY, JOYCE

**Team :** OIPE

**Date:** 05/14/2001

